

# Exhibit

6

JCS23 U.S. PTO

09/111096



07/06/98

340	Subclass	ISSUE CLASSIFICATION
825.51	Class	

PATENT NUMBER

5995019



5995019

## U.S. UTILITY PATENT APPLICATION

③ CC	O.I.P.E.	PATENT DATE
SCANNED <u>DMW</u>	Q.A. <u>AA</u>	NOV 30 1999

SECTOR	CLASS	SUBCLASS	ART UNIT	EXAMINER
	340	825.51	2735	Zimmerman

FILED WITH: ☐ DISK (CRF) ☐ FICHE  
(Attached in pocket on right inside flap)

10-18-99 Formal Drawings (2 sheets) set 7-6-98

## PREPARED AND APPROVED FOR ISSUE

ISSUING CLASSIFICATION							
ORIGINAL		CROSS REFERENCE(S)					
CLASS	SUBCLASS	CLASS	SUBCLASS (ONE SUBCLASS PER BLOCK)				
340	825.54	<del>340</del> 342	42				
INTERNATIONAL CLASSIFICATION							
H04Q	1/00						
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☐ Continued on Issue Slip Inside File Jacket

<input checked="" type="checkbox"/> <b>TERMINAL DISCLAIMER</b> 09/111096	DRAWINGS			CLAIMS ALLOWED	
	Sheets Drwg.	Figs. Drwg.	Print Fig.	Total Claims	Print Claim for O.G.
	7	9	2	18	1
<input type="checkbox"/> a) The term of this patent subsequent to _____ (date) has been disclaimed.	 (Assistant Examiner) (Date)			NOTICE OF ALLOWANCE MAILED 5/26/99	
<input checked="" type="checkbox"/> b) The term of this patent shall not extend beyond the expiration date of U.S. Patent. No. <u>5,277,561</u>	 (Primary Examiner) (Date)			ISSUE FEE <u>CR</u> Amount Due <u>1210<sup>00</sup></u> Date Paid <u>8-27-99</u>	
<input type="checkbox"/> c) The terminal _____ months of this patent have been disclaimed.	 (Legal Instruments Examiner) (Date)			ISSUE BATCH NUMBER 764	

## WARNING:

The information disclosed herein may be restricted. Unauthorized disclosure may be prohibited by the United States Code Title 35, Sections 122, 181 and 368. Possession outside the U.S. Patent & Trademark Office is restricted to authorized employees and contractors only.

Form PTO-436A (Rev. 10/97)

ISSUE FEE IN FILE

Formal Drawings (\_\_\_\_ sheets) set

(LABEL AREA)

(FACE)

PATENT APPLICATION



09111096

jc523 U.S. PTO

09/111096



07/06/98

JUL 20 9 848  
INITIALS

CONTENTS

	Date received (Incl. C. of M.) or Date Mailed		Date received (Incl. C. of M.) or Date Mailed
1. Application <u>7</u> papers.		42.	
2. <u>Pre-Amend A</u>	<u>7-6-98</u>	43.	
3. <u>CTR</u>	<u>8-6-98</u>	44.	
11/2 4. <u>RESTRICTION (lmo)</u>	<u>11/4/98</u>	45.	
5. <u>Statement</u>	<u>Nov. 10/1998</u>	46.	
11/7 6. <u>Ref 300ms</u>	<u>1-12-99</u>	47.	
7. <u>ADDC. Power of attorney</u>	<u>3-16-99</u>	48.	
8. <u>Amend B</u>	<u>3-16-99</u>	49.	
9. <u>Terminal Disclaimer</u>	<u>3-17-99</u>	50.	
10. <u>NOTICE OF Allowance</u>	<u>5/26/99</u>	51.	
11.		52.	
12.		53.	
13.		54.	
14.		55.	
15.		56.	
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# **TAB**

# **1**

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

07/16/1998 STHORNTD 00000062 141190 09111096

01 FC:101 790.00 CH

SERIAL NUMBER 09/111,096	FILING DATE 07/06/98	CLASS 340	GROUP ART UNIT 2735	ATTORNEY DOCKET NO. Y0996-178A
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APPLICANT TRIEU CAN CHIEU, SCARSDALE, NY; THOMAS ANTHONY COFINO, RYE, NY;  
HARLEY KENT HEINRICH, BREWSTER, NY; PAUL JORGE SOUSA, PEABODY, MA;  
LI-CHENG RICHARD ZAI, OSSINING, NY.

**\*\*CONTINUING DOMESTIC DATA\*\*\*\*\***  
VERIFIED THIS APPLN IS A CON OF 08/720,598 09/30/96 PAT. 5,777,561  
*BT*

**\*\*371 (NAT'L STAGE) DATA\*\*\*\*\***  
VERIFIED  
*BT none*

**\*\*FOREIGN APPLICATIONS\*\*\*\*\***  
VERIFIED  
*no BT*

FOREIGN FILING LICENSE GRANTED 07/24/98

Foreign Priority claimed 35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY NY	SHEETS DRAWING 7	TOTAL CLAIMS 19	INDEPENDENT CLAIMS 3
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Verified and Acknowledged *BT* Examiner's Initials Initials

ADDRESS RODNEY T HODGSON  
822 PINESBRIDGE ROAD  
OSSINING NY 10562

TITLE METHOD FOR COMMUNICATING WITH RF TRANSPONDERS

FILING FEE RECEIVED \$790	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT NO. _____ for the following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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SERIAL NUMBER		FILING DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.		
09/111,096		07/06/98	340	2735	Y0996-178A		
APPLICANT	TRIEU CAN CHIEU, SCARSDALE, NY; THOMAS ANTHONY COFINO, RYE, NY; HARLEY KENT HEINRICH, BREWSTER, NY; PAUL JORGE SOUSA, PEABODY, MA; LI-CHENG RICHARD ZAI, OSSINING, NY.						
	**CONTINUING DOMESTIC DATA***** VERIFIED 08/720098						
	**371 (NAT'L STAGE) DATA***** VERIFIED						
	**FOREIGN APPLICATIONS***** VERIFIED						
FOREIGN FILING LICENSE GRANTED 07/24/98							
Foreign Priority claimed 35 USC 119 (a-d) conditions met			<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
Verified and Acknowledged			Examiner's Initials Initials	NY	7	19	3
ADDRESS	RODNEY T HODGSON 822 PINESBRIDGE ROAD OSSINING NY 10562						
	METHOD FOR COMMUNICATING WITH RF TRANSPONDERS						
TITLE							
FILING FEE RECEIVED	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT NO. _____ for the following:			<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit			
\$790							



SERIAL NUMBER	FILING DATE	CLASS	GROUP ART 1 T	ATTORNEY DOCKET NO	
09/111,096	07/06/1998	340	2735	Y0996-178A	
<p>APPLICANT TRIEU CAN CHIEU, SCARSDALE, NEW YORK; THOMAS ANTHONY COFINO, RYE, NEW YORK; HARLEY KENT HEINRICH, BREWSTER, NEW YORK; PAUL JORGE SOUSA, PEABODY, MASSACHUSETTS; LI-CHENG RICHARD ZAI, OSSINING, NEW YORK.</p> <p>**CONTINUING DOMESTIC DATA***** VERIFIED THIS APPLN IS A CON OF 08/720,598 09/30/1996 PAT 5,777,561</p> <p>_____</p> <p>**371 (NAT'L STAGE) DATA***** VERIFIED</p> <p>_____</p> <p>**FOREIGN APPLICATIONS***** VERIFIED</p> <p>_____</p> <p>FOREIGN FILING LICENSE GRANTED 07/24/1998</p>					
Foreign priority claimed <input type="radio"/> yes <input type="radio"/> no 35 USC 119 (a-d) conditions met <input type="radio"/> yes <input type="radio"/> no <input type="radio"/> Met after Allowance		STATE OR COUNTRY	SHEETS DRAWINGS	TOTAL CLAIMS	INDEPENDENT CLAIMS
Verified and acknowledged _____ Examiner's Name Initials		NY	7	19	3
ADDRESS RODNEY T HODGSON 822 PINESBRIDGE ROAD OSSINING , NY 10562					
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FILING FEE RECEIVED  \$**790	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT NO. _____ for the following:		<input type="radio"/> All Fees <input type="radio"/> 1.16 Fees (Filing) <input type="radio"/> 1.17 Fees (Processing Ext. of Time) <input type="radio"/> 1.18 Fees (Issue) <input type="radio"/> Other _____ <input type="radio"/> Credit		



Please type a plus sign (+) inside this box → ☒Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small>	Attorney Docket No. <b>YO996-178A</b>
	First Inventor or Application Identifier <b>Trieu C. Chieu</b>
	Title <b>Method of Communicating With RF Transponders</b>
	Express Mail Label No. <b>EI 837 644 062 US</b>

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents.</small>		<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231			
1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)	<b>ACCOMPANYING APPLICATION PARTS</b> 7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee) <input type="checkbox"/> Attorney 9. <input type="checkbox"/> English Translation Document (if applicable) 10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 11. <input checked="" type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 13. <input type="checkbox"/> * Small Entity Statement(s) filed in prior application, Status still proper and desired (PTO/SB/09-12) 14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 15. <input type="checkbox"/> Other: _____			
2. <input checked="" type="checkbox"/> Specification [Total Pages <b>22</b> ] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies				
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <b>7</b> ]					
4. Oath or Declaration [Total Pages <b>2</b> ] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed) i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).					
<b>* NOTE FOR ITEMS 1 &amp; 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).</b>					
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: <b>08 / 720,598</b> Prior application information: Examiner <b>Brian Zimmerman</b> Group / Art Unit: <b>2735</b> For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.					
<b>17. CORRESPONDENCE ADDRESS</b> <input type="checkbox"/> Customer Number or Bar Code Label <input checked="" type="checkbox"/> Correspondence address below (Insert Customer No. or Attach bar code label here)					
Name	Jack Sherman, Legal Department Intermec Technologies Corporation				
Address	550 2nd Street S.E.				
City	Cedar Rapids			State	Iowa
Country	USA	Telephone	319/ 369-3661	Fax	319/ 369-3630
Name (Print/Type)	John H. Sherman		Registration No. (Attorney/Agent)	16,909	
Signature	<i>John H. Sherman</i>		Date	July 6, 1998	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Express Mail Label 1 837 644 062 US

PTO/SB/17 (2/98)

Approved for use through 9/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h1 style="text-align: center;">FEE TRANSMITTAL</h1> <p style="text-align: center;"><i>Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997.</i></p> <p><i>Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</i></p>		<b>Complete if Known</b>		
		Application Number		
		Filing Date	Concurrently Herewith	
		First Named Inventor	Chieu	
		Examiner Name		
		Group / Art Unit		
TOTAL AMOUNT OF PAYMENT	(\$)	790.00	Attorney Docket No.	YO996-178A

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																																																					
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number <span style="border: 1px solid black; padding: 2px;">14-1190</span></p> <p>Deposit Account Name <span style="border: 1px solid black; padding: 2px;">Norand Corp.</span></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17      <input type="checkbox"/> Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance</p>				<p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>950</td><td>217</td><td>475</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,510</td><td>218</td><td>755</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>2,060</td><td>228</td><td>1,030</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,320</td><td>241</td><td>660</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,320</td><td>242</td><td>660</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>450</td><td>243</td><td>225</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>670</td><td>244</td><td>335</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>790</td><td>246</td><td>395</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>149</td><td>790</td><td>249</td><td>395</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td colspan="5">Other fee (specify) _____</td><td></td></tr> <tr><td colspan="5">Other fee (specify) _____</td><td></td></tr> </tbody> </table>				Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month		116	400	216	200	Extension for reply within second month		117	950	217	475	Extension for reply within third month		118	1,510	218	755	Extension for reply within fourth month		128	2,060	228	1,030	Extension for reply within fifth month		119	310	219	155	Notice of Appeal		120	310	220	155	Filing a brief in support of an appeal		121	270	221	135	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,320	241	660	Petition to revive - unintentional		142	1,320	242	660	Utility issue fee (or reissue)		143	450	243	225	Design issue fee		144	670	244	335	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	240	126	240	Submission of Information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)		146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))		149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))		Other fee (specify) _____						Other fee (specify) _____					
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Docket No. YO996-178

## METHOD FOR COMMUNICATING WITH RF TRANSPONDERS

Inst. 11

### FIELD OF THE INVENTION

6 The field of the invention is the field of Radio Frequency (RF) Transponders (RF Tags), wherein a Base Station sends power and information to one or more RF Tags which contain logic and memory circuits for storing information about objects, people, items, or animals associated with the RF Tags. The RF Tags can be used for identification and location (RFID Tags) of objects and to send information to the base station by modulating the load on an RF Tag antenna.

### BACKGROUND OF THE INVENTION

11 RF Tags can be used in a multiplicity of ways for locating and identifying accompanying  
16 objects, items, animals, and people, whether these objects, items, animals, and people are stationary  
or mobile, and transmitting information about the state of the of the objects, items, animals, and  
21 people. It has been known since the early 60's in US Patent 3,098,971 by R.M. Richardson, that  
electronic components on a transponder could be powered by radio frequency (RF) power sent by  
a "base station" at a carrier frequency and received by an antenna on the tag. The signal picked up  
by the tag antenna induces an alternating current in the antenna which can be rectified by an RF diode  
and the rectified current can be used for a power supply for the electronic components. The tag  
26 antenna loading is changed by something that was to be measured, for example a microphone  
resistance in the cited patent. The oscillating current induced in the tag antenna from the incoming  
RF energy would thus be changed, and the change in the oscillating current led to a change in the RF  
power radiated from the tag antenna. This change in the radiated power from the tag antenna be  
picked up by the base station antenna and thus the microphone would in effect broadcast power  
without itself having a self contained power supply. In the cited patent, the antenna current also  
oscillates at a harmonic of the carrier frequency because the diode current contains a doubled  
frequency component, and this frequency can be picked up and sorted out from the carrier frequency  
much more easily than if it were merely reflected. Since this type of tag carries no power supply of  
its own, it is called a "passive" tag to distinguish it from an active tag containing a battery. The

Docket No. YO996-178

1 battery supplies energy to run the active tag electronics, but not to broadcast the information from the tag antenna. An active tag also changes the loading on the tag antenna for the purpose of transmitting information to the base station.

6 The "rebroadcast" of the incoming RF energy at the carrier frequency is conventionally called "back scattering", even though the tag broadcasts the energy in a pattern determined solely by the tag antenna and most of the energy may not be directed "back" to the transmitting antenna.

11 In the 70's, suggestions to use tags with logic and read/write memories were made. In this way, the tag could not only be used to measure some characteristic, for example the temperature of an animal in US patent 4,075,632 to Baldwin et. al., but could also identify the animal. The antenna load was changed by use of a transistor.

16 Prior art tags have used electronic logic and memory circuits and receiver circuits and modulator circuits for receiving information from the base station and for sending information from the tag to the base station.

21 The continuing march of semiconductor technology to smaller, faster, and less power hungry has allowed enormous increases of function and enormous drop of cost of such tags. Presently available research and development technology will also allow new function and different products in communications technology.

26 US Patent No 5,214,410, hereby incorporated by reference, teaches a method for a base station to communicate with a plurality of Tags. The tags having a particular code are energized, and send a response signal at random times. If the base station can read a tag unimpeded by signals from other tags, the base station interrupts the interrogation signal, and the tag which is sending and has been identified shuts down. The process continues until all tags in the field have been identified. If the number of possible tags in the field is large, this process can take a very long time. The average time between the random responses of the tags must be set very long so that there is a

Docket No. YO996-178

1 reasonable probability that a tag can communicate in a time window free of interference from the other tags.

### RELATED APPLICATIONS

Copending patent applications assigned to the assignee of the present invention and hereby incorporated by reference, are:

6 serial No. <sup>08/303945</sup> filed Sept. 9, 1994 entitled RF Group Select Protocol, by Cesar et. al; <sup>now U.S. Patent No. 5673037</sup>

Serial No. <sup>08/304340</sup> filed Sept. 9, 1994 entitled Multiple Item RF ID protocol, by Chan et.

al.; <sup>now U.S. Patent No. 5550547</sup>  
Serial No. <sup>08/521,898</sup> filed Aug. 31, 1995 entitled Diode Modulator for RF Transponder by Friedman et al.; <sup>now U.S. Patent No. 5600323</sup>

application submitted 8/9/96 entitled RFID System with Broadcast Capability by Cesar et al.;  
and

application submitted 07/29/96 entitled RFID transponder with Electronic Circuitry Enabling and Disabling Capability, by Heinrich et al.

These applications teach a communications protocol whereby a base station communicates to a plurality of tags by polling the tags and shutting down tags in turn until there is just one left. The information is then exchanged between the base station and the one tag, and then the one tag is turned off. The unidentified tags are then turned on, and the process is repeated until all the tags have the communication protocol completed. Typical protocols requires a time which is not linearly proportional to the number of tags in the field. More tags take a longer time per tag than fewer tags. If the tags can be selected into groups in some way, each group can be dealt with in a shorter time per tag, and the time taken to communicate with the first tag is markedly shortened.

### SUMMARY OF THE INVENTION

26 The method of the present invention is a method of selecting groups of RF tags for a communication protocol comprising selecting a plurality of groups of tags according to a physical attribute of the signal sent by the tags to the base station, or selecting the groups according to the physical response of the tags to a physical attribute of the signal sent from the base station to the tags, and communicating with the tags in each group. A single tag may be a member of one or more



Docket No. YO996-178

1 groups. Some groups may have no members. The most preferred embodiment of the invention is the  
method of selecting groups on the basis of the physical signal strength of the RF signal received  
from the tags by the base station. The tags have greater or less received signal strength depending  
on the distance to the base station antenna, the relative orientation of the tag and the base station  
antennas, and the local conditions of reflectors and absorbers of radiation around the tag. The base  
6 station may also select groups of tags according to the polarization or the phase of the returned RF  
signal, the RF carrier or Doppler shifted RF carrier or modulation frequency sent by the tags, or any  
another physical signal from the tags. The base station may also select groups of tags according to  
the physical response of the tags to the polarization, phase, carrier frequency, modulation frequency,  
or power of the RF signal sent by the base station. The communication protocol can be carried out  
simultaneously or sequentially with the selected groups. The physical characteristics used to group  
the tags can be measured simultaneously or sequentially. Different groups may be selected by taking  
the union, the intersection, or other combinations of the various groups of tags selected according  
to the different physical attributes. The tag group selection parameters may also include selecting  
groups by software, i.e. by selecting the groups according to information stored on the tag.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a generalized diagram of a base station communicating to one or more tags.

Fig. 2 is a diagram of a base station having two antennas for receiving information about the  
polarization of the signal sent by a tag.

Fig. 3 is a diagram of a base station having three antennas for receiving information about the  
polarization and phase position of the signal sent by a tag.

Fig. 4 is a diagram of a base station circuit which can select the strongest signals from signals sent  
by a plurality of tags.

Fig. 5 is a flow chart of the most preferred embodiment of the invention.

Fig. 6 is a flow chart of a preferred embodiment of the invention.

Fig. 7 is a flow chart of a preferred embodiment of the invention.

Fig. 8 is a flow chart of a preferred embodiment of the invention.

Fig. 9 is a flow chart of a preferred embodiment of the invention.

Docket No. YO996-178

## DETAILED DESCRIPTION OF THE INVENTION

Fig. 1 sketches a base station 10 sending RF energy 21 and information to one or more tags 20. The tags 20 may have varying distances from the base station, and the tag antennas 22 may be in any orientation with respect to the base station antenna. The base station comprises a transmitter section 100, a computer section 50, a circulator 170, a receiver section 200, and one or more antennas 185.

Fig. 2 depicts a base station 10 which can group the tags 20 into groups on the basis of polarization of the RF radiation back scattered to the base station 10. The base station 10 has two perpendicular antennas 185 and 185' communicating with three tags 20, 20', and 20". The antennas 185 and 185', and 22, 22' and 22" are depicted as simple dipole antennas which transmit linearly polarized radiation with the polarization substantially parallel to the antennas. In the diagram shown, antenna 185 may communicate well with the tag 20 having an antenna 22 parallel to antenna 185, less well with the antenna 22" which is shown having a 45 degree orientation with respect to antenna 185, and not at all with the tag with a perpendicular antenna 22'. The groups are first selected on the basis of the response of the tags to the polarization of the signal sent out from the base station. In this example, two groups are selected: those tags which respond to the particular polarization, and those tags which do not respond. In the embodiment depicted in fig. 2, a signal sent out from antenna 185 brings responses from tag 20 and from tag 20" to antenna 185, and from tag 20" alone to antenna 185'. The tag antenna 22' may not receive power from the perpendicular antenna 185, and so tag 20' remains silent. The tags are then further selected into subgroups according to the polarization of the returned signal. Thus, three groups of tags are selected by this method in this example, tag 20' is in one group of "silent" tags, tag 20" is in the group which is picked up by antenna 185' because the polarization of the signal from tag 20" can be detected by antenna 185', and tags 20 and 20" are in the group with polarization components which may be picked up by antenna 185. Communication with each of the two "non silent" groups in turn or in parallel simplifies and speed the communication protocol. In particular, the time taken to communicate with the first tag is markedly reduced. In the example given above, the signal returned to antenna 185' is the signal from only a single tag 20", and that tag can return the tag identification number while the antenna 185 receives signal signifying more than one tag in the field. The tag 20" may then be turned off for the duration of the communication procedure, and the process repeated to identify and shut down tag 20. The sending antenna is then



Docket No. YO996-178

switched to antenna 185', and the remaining tag 20' is identified. While a linear polarization scheme is shown as an example, it is clear to one skilled in the art that circularly polarized signals could also be used with good effect. The exact orientations of the antennas are also not critical to the invention, as long as there is a difference in the sensitivity of the antennas to the polarization of the RF signals sent by the tags. A single base station antenna could be used, as long as the polarization characteristics of the single base station antenna could be changed by the base station or by other means.

Fig. 3 shows a base station 10 with more than two dipole antennas 185, 185', and 185". In this example, each antenna axis is mutually orthogonal so that the orientation of the linearly polarized backscattering from dipole antennas 22 in the field can be measured and the tags selected into groups for the communication procedure.

Fig. 4 shows a block diagram for circuitry which can allow the base station to select a group of tags by the signal strength received at the base station. The equipment for implementing the method of the most preferred embodiment of the invention uses five sections of the base station 10: a computer section 50, a transmitter section 100; a receiver section 200; a hybrid coupling device 170; and an antenna 185. The computer section may be a relatively unsophisticated circuit for controlling the transmitter and for receiving signals from the receiver, or it could include highly sophisticated workstations for interrogating and writing information to the tags. The transmitter section 100, under control of the computer section 50, sends a signal of the appropriate amplitude and frequency (which may or may not be modulated) to the hybrid 170, which sends the (modulated) signal to the antenna 185. The preferred modulation for communication to and from the tags is amplitude modulation, but it may be either frequency or phase modulation. The antenna 185 both sends out the RF carrier frequency which may or may not be modulated, and captures the signals radiated by the tags 20. The antenna 185 captures the signals radiated by the tags and sends the signals back to the hybrid 170, which sends the signals to the receiver section 200. The receiver section down converts and extracts the modulated signal from the carrier, and converts all the modulation energy it receives to a baseband information signal at its output. In the most preferred embodiment, the receiver has two outputs in quadrature called I (in phase with the transmitted carrier) and Q (quadrature, 90 degrees out of phase with the carrier). However, various embodiments of the invention have just one output. The hybrid element 170 connects the transmitter and receiver

Docket No. YO996-178

1 to an antenna while simultaneously isolating the transmitter and the receiver from each other. That  
is, the hybrid allows the antenna to send out a strong signal from the transmitter while simultaneously  
receiving a weak backscattered reflection. The strong transmitted signals being sent into the antenna  
must be eliminated from the receiver by the hybrid.

6 The transmitter section depicted by block 100 provides the energy and frequency signals  
for the transmitter carrier and the receiver down converter, and the amplified and modulated signal  
160 which may be sent by the antenna 185. The RF source 105 of signal 110 is usually isolated by  
an element 120 between the carrier signal source 105 and the rest of the circuit which avoids coupling  
problems of coupling reflections back to the RF source. The isolation element 120 is usually a  
circulator with one port terminated by a resistor. The isolated carrier signal 125 is split into two  
11 paths in a signal splitter element 130. Most of the energy 140 goes to an amplifier modulator  
element 150, while signal 135 takes a small signal to the receiver section depicted by block 200. An  
optional phase and/or frequency shifter element 139 may be included between the signal splitter 130  
and the receiver section 200 to provide control by the computer section 50 over line 157 of the  
reference phase and frequency signal 210 which the receiver section uses in detecting the signals  
from the tags. The phase and or frequency shifter 139 may send out signals differing by a small  
16 amount in frequency from the signal 110 sent out from the RF source 105, or it may send out  
harmonics of the signal. In the amplifier modulator section 150, the carrier frequency is amplified and  
modulated by a signal 155 controlled by computer section 50. A preferred embodiment has a carrier  
frequency greater than 400 MHZ. A more preferred embodiment has a carrier frequency greater than  
21 900 MHZ. The most preferred embodiment uses a carrier frequency of from 2.3 to 2.5 Ghz, and this  
signal is amplitude modulated at 20-60 kHz. In the preferred embodiment, a direct modulation of the  
carrier frequency is depicted. However, an up converter of multiple frequencies may also be used.  
This modulated signal 160 enters the hybrid element 170 and is passed over lead 180 to the antenna  
185. A modulator signal is applied at 155 into the modulator 150 to give a modulation which may be  
26 amplitude, frequency or phase modulation. The most preferred embodiment is amplitude modulation.

In the receiver section 200, the received signal from the antenna 185 travels along lead 180  
and enters the hybrid 170 which directs the signal along 220 to the receiver section depicted by block  
200. This signal comprises signals sent by the tags, which modulate the carrier frequency at a  
frequency of, for example, 40 KHz, and the reflected unmodulated transmitter carrier signal reflected

Docket No. YO996-178

1 from the antennas or other reflectors in the field. The antenna will never be perfectly matched to the transmitter, and will reflect a signal which is about 20 dB down from the signal transmitted by the antenna. Of course, the carrier signals reflected by the tags, and the various reflections of the transmitted signal, will be much weaker than the signal transmitted from the antenna. The receiver structure 230 of the most preferred embodiment here is a direct down conversion I and Q system  
 6 where the mixing frequency signal 210 is generated by the source 105 and is the only send-out by the transmitter. The single down conversion system receiver removes the carrier frequency signal and generates two baseband signals which have frequencies in the 40 KHz region in quadrature 310 and 410. These signals are filtered and amplified by means of signal processing in elements 300 and 400. The signals 320 and 420 are passed to the computer section 50 for further processing.

The hybrid component 170 is typically a circulator. It passes signals from 160 to 180, from 180 to 220, from 220 to 160 but not the other way around. Hence the transmitter is isolated from both the small amount of modulated carrier reflected by the antenna 185 (20 dB down typically) and the circulator (20 dB leakage typically). The receiver is isolated from the large signal sent from the transmitter 100 to the antenna 185, and receives about -20 dB signal from leakage from the circulator 170 and a further -20 dB of signal from the reflection from the antenna.

Of course, when the base station modulates the carrier signal to transfer information from the base station to the tags, the reflected modulated signals from the antenna and the leakage from the circulator will swamp out any signals sent by the tags. In the prior art, the tags communicate in a time period when there is no modulation of the carrier signal transmitted from the base station, or  
 21 the tags communicate at a different carrier frequency than that transmitted by the base station, so that the receiver can pick out the modulated signals from the tags from all the reflections and leakages of the carrier signals. The present invention allows simple discrimination of signals by the tag to the base station sent as modulation of the base station carrier frequency, or as modulations of another frequency, from one or more tags, and allows the tags to be sorted in groups determined by the tag  
 26 signal strength received at the base station.

The most preferred embodiment of the present invention is a method to sort the tags into groups by sending a steady, weak signal modulation at the communication modulation frequency to the tags in the time period where the prior art sends an unmodulated carrier signal so that the tags may communicate back to the base station. The steady, weak modulation frequency is not strong

Docket No. YO996-178

1 enough to influence the tag, but is strong enough so that the steady, weak modulated signals reflected from the antenna 185 and leaked around the hybrid 170 can be measured by the receiver and can be used to set a level for discriminating amongst the tag signals. In the most preferred embodiment, the communication to the tags is carried out by a 100% amplitude modulation of the carrier frequency at a 20-60 KHz frequency. The preferred protocol for the tags to detect such information is a 50 dB

6 on/off ratio, but this is not necessary to the invention. Any modulation of the carrier frequency which can conceivably be used for communication between the tags and the base station can be used. Such modulations as frequency modulation and phase modulation are well known in the art. In the present invention, a modulation amplitude less than that used to communicate with the tags is impressed on the outgoing carrier wave. The mismatch at the antenna will always cause that signal to be reflected and to be present at the receiver. This signal is detected at the receiver and is used to establish a deterministic signal floor. As backscattered modulated signals are received and are stronger than this coupling signal, the received back scattered signal dominates the receiver. Hence, a high sensitivity receiver may be used with a forced coupled modulation from the transmitter as its signal noise floor, and behave in a predictable manner between the conditions of no tags in the field, a single tag in the field, multiple tags in the field, and interference. Furthermore, by varying the modulation strength of the weak, modulated signal, the returned signal strength of signals from the tags required to overcome the coupled modulator signal is increased or decreased thereby allowing the base station to select a group of tags based on the returned signal strength.

Fig.5 depicts a flow chart 500 of the most preferred method for selecting groups of tags and communicating with the tags in each group. A modulation frequency of 40 KHz is chosen as an example. At step 510, the base station transmits a modulated signal to the base station antenna, and hence to the tags, instructing the tags to respond and return a modulated signal in a time period (time slot) defined by the tag communication protocol. At step 520, the base station transmits a carrier wave to the base station antenna. The carrier wave has a steady 40 KHz amplitude modulation which is less than that required to communicate with the tags. The base station measures the 40 KHZ modulation received from the base station antenna in the time slot defined by the tag communication protocol. If the modulated signal received by the receiver 200 is steady in step 530, the reflected modulated signal and leakage is greater than any signals received from tags, which would send an unsteady modulated signal. The base station then reduces the amplitude of the steady modulated

Docket No. YO996-178

1 signal in step 540 and the system returns to step 510. If the modulated signal is not steady in step  
 530, the base station checks at step 550 to see whether the modulated signal returned is steady  
 outside the time slot defined by the tag communication protocol. If the modulated signal is unsteady  
 when no tags are supposed to be sending signals, the unsteady signal is noise, and the receiver can  
 not distinguish between signals sent by the tags and the noise. No tags are in reading position in the  
 6 field, and the protocol is ended in step 560. If however the modulated signal is steady outside the  
 time slot, and unsteady in the time slot, one or more tags in the field are sending signals. These  
 signals are stronger than the steady modulated signals received from the reflected steadily modulated  
 carrier wave. If a single tag is in the field, and can be read at step 570, the single tag is read and  
 instructed to shut off, at step 590, and the system is returned to step 540 to reduce the steady  
 modulation and return to the beginning step 510 to try to find tags with less signal strength. If more  
 than one tag is in the field and the tag signals interfere with each other so that they can not be read  
 at step 570, a multiple tag reading protocol is instituted in order to read the multiple tags at step 580.  
 The tags are read using the multiple tag reading protocol, and ordered to shut down, and the system  
 is returned to step 540 to reduce the steady modulation and return to the beginning step 510 to try  
 to find the group of tags with less signal strength than the first group.

Step 550 is preferably taken after step 530, but step 550 may optionally be taken between  
 steps 570 and 580 or after step 580 if no tags are read by the multiple tag reading procedure.

The most preferred embodiment of the invention uses a protocol in which the tags are  
 commanded to return an identification signal in a particular time slot, but the same invention may be  
 21 used where the tags are commanded to return information in any defined time periods.

While the preferred embodiment uses the naturally occurring reflections from the base station  
 antenna 185 and leakage from the hybrid 170 to introduce the noise floor signal into the receiver 200,  
 many other means of introducing this signal to the receiver are possible to one skilled in the art. As  
 an example, the steady 40 Khz modulation could be summed with the signals from the I/Q  
 26 demodulator coming on lines 310 and 410, or indeed a specially constructed device analogous to a  
 two input I/Q demodulator could be constructed to accept the steady 40 Khz comparison signal from  
 an outside source.

Additional embodiments of the invention include further subdividing the groups selected by  
 the above method on the basis of the phase and/or polarization of the signals returned to the base



Docket No. YO996-178

station, as well as other physical or software group selection criteria.

A preferred embodiment of the invention is to select tags on the basis of the returned polarization of the signals. In the embodiment shown in fig. 2, groups of tags with antennas which return a linear polarization which is polarized more parallel to one or the other of the two dipole antennas 185 or 185' sketched in fig. 2 are selected. Returned signals from the two antennas are processed in parallel by two sets of receiver circuitry like that shown in fig. 4. The tags are interrogated by transmitting the modulated carrier signal from first one antenna 185, then the other antenna 185', and four channels of signals (the I and Q channels received from each antenna) may be processed in parallel or in sequential fashion. This set up would select the tags into 8 groups, which of course may be further selected and grouped on the basis of the received signal strength or any other physical or software attribute.

Fig. 6 depicts a flow chart 600 of the preferred method of selecting groups of tags on the basis of the polarization of the signals returned to the base station. As an illustrative example, a base station comprising 2 antennas which are sensitive to different polarizations, such as depicted in fig. 2, is chosen. However, the number of antennas and whether the polarization is linear, circular, or some combination of the polarizations may be chosen at will by one skilled in the art. Step 610 uses antenna 185 to send a signal to the tags instructing the tags to return a signal in the time slot determined by the communication protocol. The antenna 185 is then used to listen for signals from the tags in the time slot where the tags return signals in step 620. Signals returning from antenna 185 are analysed in step 630 to see if the base station can read the signal. If the signal is returned from a single tag, the base station communicates with the single tag in step 640, and instructs the tag to shut itself down for the remainder of the communication protocol, or until it is specifically instructed to start returning signals again. The system is then returned to step 610 to look for more tags. If the signal returned by the tags to antenna 185 can not be read, either because there are no tags in the field in a position to be read by antenna 185 or because there multiple tags trying to communicate at the same time, the system may then try to read a single tag communicating to antenna 185' in step 650. If a single tag is successfully read, the system reads the tag at step 640, shuts the tag down, and returns to the beginning step 610 to try to read again the tags which may be trying to communicate to antenna 185. Since there is now one fewer tag in the field, a tag may now be read at step 630 on antenna 185. If a single tag can not be read in step 650, a multiple tag in the field reading procedure

Docket No. YO996-178

1 is instituted in step 660. Steps 630 and 650 may be taken either sequentially or simultaneously, if two  
 receivers are connected to the two antennas. If tags are read using one antenna in step 660, the  
 system decides in step 670 to communicate with the tags and turn them off and the system returns  
 to step 610 to try to read a single or multiple tag from the other antenna. If the multiple tag reading  
 procedure does not read any tags from either antenna in step 660, the system may switch transmitting  
 6 antennas in step 680, so that the commands and carrier wave are transmitted to antenna 185' instead  
 of antenna 185. The method 600 of the invention can then be used to identify and select other groups  
 not found in the first application of method 600. Alternatively, the system may switch transmitting  
 antennas between steps 650 and 660 to try to find, communicate with, and shut off single tags.

11 Another antenna perpendicular to the two antennas shown in fig. 2, which is placed remotely  
 from the base station as shown in fig. 3 allows all combinations of linear polarized backscattering  
 to be discriminated and allows the selecting of groups based on all polarizations of the received  
 signal.

16 The three antennas 185, 185', and 185" shown in fig. 3 allow many more groups to be selected  
 on the basis of phase information. A possibly different group responds in the I and Q channels of the  
 receiver of each antenna, and the groups may be different depending on which antenna or combination  
 of antennas sends the carrier signal to the tags. Such group selection markedly cuts down the time  
 needed to interrogate many tags in the field.

21 Base station antennas and tag antennas sensitive to circular and other polarizations are also  
 known in the art, and these also may be used by one skilled in the art in an analogous way to that  
 shown in figs. 1, 2, and 3 and described above.

26 An additional preferred embodiment of the invention is to use the information on the I and  
 Q channels to select tags into groups on the basis of the phase of the returned signal which is  
 dependent on the distance of the tags from the base station. As a tag is moved away from the base  
 station, the carrier signal from the tag received at the base station changes from being in phase with  
 the transmitted signal to being 90 degrees out of phase to being 180 degrees out of phase as the tag  
 is moved one quarter of a wavelength of the RF EM field. The amplitude in the I channel and the Q  
 channel changes accordingly, for example from a 1 in the I channel and a 0 in the Q channel, to a 0  
 in the I channel and a 1 in the Q channel, to a -1 in the I channel and 0 in the Q channel respectively.  
 Thus, selecting the signals received from the tags on the I channel alone selects a group of tags for



Docket No. YO996-178

1 communication, while selecting the signals received from the tags on the Q channel selects a different  
 group of tags which are at different distances from the base station antenna. Both the I and the Q  
 channels may be used simultaneously or sequentially to communicate with the two different groups  
 of tags. It is possible that some tags may be in both groups at the same time. As long as there are  
 some tags in one group and not in the other, the selecting of the groups speeds up the tag  
 6 communication protocol.

Fig. 7 gives a flow chart of a preferred method 700 of selecting groups of tags by the phase  
 of the signal returned to the base station. A signal 710 is sent from the base station to the tags  
 instructing the tags to return modulated signals to the base station in the time slot designated for tag  
 response. In this time period, a steady carrier wave having a defined phase is transmitted 720 from  
 the base station antenna. If a single tag can be read on the receiver I channel 730, the tag is instructed  
 to shut itself off in step 740 and the system returns to step 710. If a single tag can not be read on the  
 I channel in step 730, the system tries to read a single tag in the Q channel in step 750. If a single tag  
 can be read step 750, the tag is instructed to shut itself off in step 740, and the system returns to the  
 beginning 710 to try to pick up a single tag in the I channel. If single tags can not be read in either  
 the I channel or the Q channel, the system decides in step 750 to institute the multiple tag in field  
 reading procedure 760. If tags are identified in either I or Q channels in step 760, the system may  
 shut the identified tags off and return to step 710 to try to find single tags grouped in the other  
 channel.

While the above method 700 has steps 730 and 750 proceeding sequentially, it is well within  
 21 the scope of the invention that steps 730 and 750 may also be carried out simultaneously. If a single  
 tag is read on either the I channel or the Q channel, the system returns to step 710. If no single tags  
 are read on steps 730 and 750, the system proceeds to step 760. In step 760, if tags are identified  
 and shut off, the system may at any time return to step 710 to carry out the simpler subgrouping.

With the addition of an optional phase shifting element 139, signals from a particular tag are  
 26 brought entirely into the I channel or the Q channel. The tags may then be sorted into many more  
 groups than the two groups defined by the I and Q channels as explained above. If only one channel  
 of information, for example the I channel, is used, changing the phase shifting element 139 to give  
 a series of different phase delays may sort the tags into more groups. The computer section 50 may  
 end the phase shift element 135 instructions over line 157 to shift phase by, for example 0, 30, 60,

Docket No. YO996-178

1 and 90 degrees which would select four different groups of tags for communication. Using both the I and Q channels, and 3 phase shifts of 0, 30, and 60 degrees gives 6 groups as another example.

If the carrier signal frequency sent out from the base station is changed, a particular tag will be a different number of quarter wavelengths from the base station and the signal will be distributed in a different way between the I and Q channels of the base station receiver. A preferred embodiment of the present invention is to select different groups of tags according to the response of the tag to such a frequency shift of the base station. Fig. 8 gives a flow chart for the method 800 of selecting groups of tags on the basis of the response of the tag to the frequency of the carrier signal sent out from the base station. In step 810, the base station sends out a carrier wave having a first frequency  $f_1$ . In step 820, the base station instructs the tags to return signals. The signal returning to the base station is analyzed in a single channel of the receiver in step 830. If the signal can be read, the tag is communicated with and turned off in step 840 and the system returns to step 820 to find single tags which may have less received signal strength than the tag found in the previous cycle. If no tag is found in step 830, the system then changes the carrier frequency sent out from the base station in step 850 to a frequency  $f_2$ , and then sends signals to the tags to return signals in step 860. If a single tag can be read in step 870, the tag is communicated with and shut off in step 880, and the system returned to step 860. If no tags are found in step 870, the system checks to see if any tags have been found in previous cycles through step 870, and if so the system is returned to the beginning step 810 to search the first frequency again. If no tags have been found in previous cycles, the system goes to the multiple tag in the field search procedure 890. While two frequencies are used in this example, the method is not limited to the use of just two frequencies, and many more could be used. Use of any plurality of frequencies which shift the relative phase of the returned signal is contemplated by the inventors.

A further embodiment of the invention is to select the tags into groups on the basis of the frequency response of the tags. Tags responsive to different carrier frequencies are interrogated, and the base station is programmed to shift from one frequency to the next to select and interrogate these different groups of tags in a sequential fashion. Tags may be grouped into tags which respond to 900 MHZ, and tags which respond to 2.4 MHZ, as an example.

A further embodiment of the invention is to select the tags into groups on the basis of the response of the tags to the RF power transmitted from the base station. The method of the

Docket No. YO996-178

1 embodiment is to send a low power to the set of tags, and communicate with the set of tags which  
 respond to the low power, then turn the tags which responded to the low power off. Next, the RF  
 power transmitted from the base station is raised, and tags in a group which are further away than the  
 first group respond, and are in turn communicated with and turned off. The process may be repeated  
 until all tags in communication range of the base station with the maximum power allowed have  
 6 finished the communication protocol.

Tags which themselves return different carrier frequencies than the base station carrier  
 frequency are known in the art. A further embodiment of the invention is to select groups of such  
 tags on the basis of the different measured carrier frequencies. The base station is programmed to  
 receive the different tag carrier frequencies, either simultaneously or sequentially and to interrogate  
 each group of tags. The different carrier frequencies known in the art are often the harmonics of the  
 base station carrier frequency. However, the invention is not limited to the particular carrier frequency  
 returned by the tags to the base station. If the tags can be selected into at least two groups, the  
 communication protocol is speeded up.

Fig. 9 is a flow chart of a method of grouping the tags on the basis of the carrier frequency of the  
 tags. The receiver is set to receive a carrier signal of frequency  $f_1$  in step 910. Step 920 instructs the  
 tags to return signals. If a single tag is read in step 930, the system instructs the tag in step 940 to  
 turn off and return to step 920. If no tag can be read in step 930, the receiver frequency is changed  
 in step 950 to  $f_2$ , and the tags are instructed in step 960 to return signals. If a single tag can be read  
 in step 970, the tag is communicated with and shut off in step 980. If a single tag can not be read in  
 step 970, the multiple tag reading protocol is instituted. While two frequencies are used in this  
 example, many more frequencies could also be used.

The carrier frequencies emitted by the tags and received by the base station may be apparently shifted  
 from the base station carrier frequency by the Doppler shift due to the relative motion of the tags and  
 the base station. A further embodiment of the invention is to select groups of tags according to the  
 Doppler shift of the carrier frequency sent by the tags and received by the base station. As an  
 example, two groups of tags, those with relative motion of the tags towards the base station, and  
 those with relative motion away from the base station, are selected for the communication protocol.  
 This group selection is particularly valuable for a base station communicating with tags on one side  
 of a doorway, for example, to measure whether the tags are carried into or out of a room.

Docket No. YO996-178

1        Tags may return different modulation frequencies. A further embodiment of the invention is to select groups of tags on the basis of the modulation frequency of the returned tag signal. The base station is programmed to interrogate each group of tags either simultaneously or sequentially.

6        The invention is not limited to the above examples. The selection of groups of tags from a set of tags on the basis of any physically measured characteristics or attributes of the returned signal from the tags in response to any physical characteristic or attribute of the signal sent from the base station is well within the scope of the invention, as is the combination of the selection of groups on the basis of both physically measured characteristics and information contained on the tags.

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Docket No. YO996-178

1 We claim:

1. A method for communicating between a base station and a set of radio frequency (RF) transponders (tags), comprising;  
selecting a plurality of groups of tags from the set of RF tags, the selection according to a physical response of the tags to a first RF signal sent from the base station; and  
6 communicating with tags in each group.

2. The method of claim 1, wherein the communication with tags in each group is simultaneous.

3. The method of claim 1, wherein the physical response of the tags is a polarization of a second RF signal returned by the tags, the second RF signal received by the base station.

4. The method of claim 3, wherein the communication with tags in each group is simultaneous.

5. The method of claim 3, wherein the selection of groups of tags is by signals received by at least two antennas of the base station.

6. The method of claim 1, wherein the physical response of the tags is a phase of a second RF signal returned by the tags, the second RF signal received by the base station.

7. The method of claim 6, wherein the communication with tags in each group is simultaneous.

8. The method of claim 6, wherein the phase the signals received from a first group of tags is primarily in phase with a carrier signal sent out from the base station, and the phase of the signals received from a second group of tags is primarily 90 degrees out of phase with the signal sent out from the base station.

9. The method of claim 1, wherein the physical response of the tags is a frequency of a second RF signal returned by the tags, the second RF signal received by the base station.

Docket No. YO996-178

- 1 10. The method of claim 9, wherein the communication with tags in each group is simultaneous.
11. The method of claim 9, wherein the frequency of the second RF signal received from the tags by the base station is harmonically related to a base station carrier frequency.
- 6 12. The method of claim 9, wherein the frequency of the second Rf signal received from the tags by the base station is a base station carrier frequency Doppler shifted by relative motion between the tags and the base station.
13. The method of claim 12, wherein a first group of tags is a group of tags having relative motion towards the base station, and a second group of tags is a group of tags having relative motion away from the base station.
14. The method of claim 1, wherein the physical response of the tags is a modulation frequency of a second RF signal returned by the tags, the second RF signal received by the base station.
15. The method of claim 14, wherein the communication with tags in each group is sequential.
- 16 The method of claim 1, wherein the physical response of the tags is a signal strength of a second RF signal returned by the tags, the second RF signal received by the base station.
- 21 17. The method of claim 16, wherein the communication with tags in each group is sequential.
18. The method of claim 17, wherein the selection of a first group of tags is followed in order by communication with the first group of tags, the selection of a second group of tags, and communication with the second group of tags.
- 26

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Docket No. YO996-178

19. The method of claim 18, wherein the signal strength of the second RF signal received from the tags by a receiver of the base station is compared to a signal strength of a third signal introduced by the base station into the receiver of the base station, and wherein the first group of tags is selected to be the group of tags which have signal strengths greater than the signal strength of the third signal.

20. The method of claim 1, wherein the physical response of the tags is a polarization and a phase of a second RF signal returned by the tags, the second RF signal received by the base station.

21. The method of claim 1, wherein the physical response of the tags is a polarization and a signal strength of a second RF signal returned by the tags, the second RF signal received by the base station.

22. The method of claim 1, wherein the physical response of the tags is a phase and a signal strength of a second RF signal returned by the tags, the second RF signal received by the base station.

23. The method of claim 1, wherein the physical response of the tags is a polarization and a phase and a signal strength of a second RF signal returned by the tags, the second RF signal received by the base station.

24. A method for communicating between a base station and a set of radio frequency (RF) transponders (tags), comprising;  
selecting a plurality of groups of tags from the set of RF tags, the selection according to a physical response of the tags to a polarization of a first RF signal sent from the base station; and

communicating with the tags in each group.



Docket No. YO996-178

- 1 25. A method for communication between a base station and a set of RF tags, comprising;
- I) transmitting a carrier wave with a first modulated signal to a base station antenna, the first modulated signal instructing the set of tags to return a second modulated signal in a time period (slot) defined by a tag communication protocol; then
- 6 II) transmitting a carrier wave with a steady amplitude modulation to the base station antenna, the steady amplitude modulation being less than an amplitude modulation required to communicate with the set of tags; and
- III) measuring a modulation of a carrier wave received from the base station antenna,
- A) when the modulation of the carrier wave received from the base station antenna is steady, reducing the amplitude of the modulation of the carrier wave with a steady amplitude modulation and returning to step I);
- B) when the modulation of the carrier wave received from the base station antenna is not steady, and
- a) when the modulation can be read, communicating with a first tag and then instructing the first tag to cease sending modulated signals, then reducing the amplitude of the modulation of the carrier wave with a steady amplitude modulation and returning to step I);
- b) when the modulation cannot be read, and
- i.) when the modulation of the carrier wave received from the base station antenna outside the communication protocol time slot is steady, communicating with multiple tags using a multiple tag communication protocol, then instructing the multiple tags to cease returning the second modulated signal, reducing the amplitude of the modulation of the carrier wave with a steady amplitude modulation and returning to step I);
- ii.) when the modulation of the carrier wave received from the base station antenna outside the communication protocol time slot is not steady, stopping.

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Docket No. YO996-178

- 1 26. A method for communication between a base station and a set of RF tags, comprising;
- a) transmitting a first modulated signal to a base station antenna, the first modulated signal instructing the set of tags to return a second modulated signal in a time period (slot) defined by a tag communication protocol; then
- 6 b) transmitting an unmodulated first carrier wave having a defined first phase to the base station antenna during the time slot defined by a tag communication protocol; then
- c) measuring a second modulated signal of a second carrier wave returned by the tags to the base station antenna in the time slot defined by the tag communication protocol, the second carrier wave having a second defined phase with respect to the first phase of the first carrier wave, and communicating with a first group of tags producing the modulated second carrier wave; and
- d) measuring a third modulated signal of a third carrier wave returned by the tags to the base station antenna in the time slot defined by the tag communication protocol, the third carrier wave having a third defined phase with respect to the first phase of the first carrier wave, and communicating with a second group of tags producing the modulated third carrier wave.
27. The method of claim 26, wherein steps c) and d) are carried out simultaneously.
28. The method of claim 26, wherein steps c) and d) are carried out sequentially.

Add A2

Add B1

Docket No. YO996-178

## ABSTRACT OF THE INVENTION

09/11/09

A method of selecting groups of radio frequency RF transponders (tags) for communication between a base station and the tags is presented. The tags are selected into groups according to a physical attribute of the signal sent by the tags to the base station, or according to the physical response of the tags to a physical attribute of the signal sent from the base station to the tags. Communication with the tags is thereby simplified, and the time taken to communicate with the first tag is markedly reduced.

2009/07/25

L. No. YO96-178

**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD FOR COMMUNICATING WITH RF TRANSPONDERS

the specification of which (check one)

☐ is attached hereto.☒ was filed on September 30, 1996 asApplication Serial No. 08/720,598and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States Application(s) listed below and, insofar as the subject matter of each of the claims of the application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information material to the patentability of this application as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application;

(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
_____	_____	_____
_____	_____	_____

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number).

Manny W. Schechter (Reg. No. 31,722), Marc D. Schechter (Reg. No. 29,989), Christopher A. Hughes (Reg. 26,914), Edward A. Pennington (Reg. 32,588), John E. Hoel (Reg. 26,279), Joseph C. Redmond, Jr. (Reg. 18,753), and Louis J. Percello (Reg. No. 33,206)

Send Correspondence to: Rodney T. Hodgson, 822 Pinesbridge Road, Ossining, NY 10562Direct Telephone Calls to: (name and telephone number) Rodney T. Hodgson (914) 762-5466

Trieu Can Chieu

Full name of sole or first inventor

Trieu Can Chieu

Inventor's Signature

Date

11/15/97100 Dunwoodie Street, Scarsdale NY 10583

Residence

USA

Citizenship

Same as above

Post Office Address

Thomas Anthony Cofino

Full name of second joint-inventor, if any

Thomas Anthony Cofino

Inventor's signature

Date

Jan 14, 199719 Jean Street, Rye NY 10580

Residence

USA

Citizenship

same as above

Post Office Address

Docket No. YO996-178

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

Harley Kent Heinrich

Full name of third joint inventor, if any

HS Kent Heinrich

Inventor's Signature

1/13/97

Date

RD 3, Old Putnam Lake, Brewster, NY 10509

Residence

USA

Citizenship

same as above

Post Office Address

Paul Jorge Sousa

Full name of fourth joint-inventor, if any

Paul Sousa

Inventor's signature

12/19/96

Date

19 Bourbon Street #94, Peabody, MA 10960

Residence

Portugal

Citizenship

same as above

Post Office Address

Li Cheng Richard Zai

Full name of fifth joint inventor, if any

Li Cheng Richard Zai

Inventor's signature

1/15/97

Date

52 Croton Avenue #5D, Ossining NY 10562

Residence

USA

Citizenship

same as above

Post Office Address

Full name of sixth joint-inventor, if any

Inventor's signature

Date

Residence

Citizenship

Post Office Address

Full name of seventh joint-inventor, if any

Inventor's signature

Date

Residence

Citizenship

Post Office Address

REVISED	O.G. FIG. 2	
BY	CLASS	SUBCLASS
WITSMAN	340	825.54

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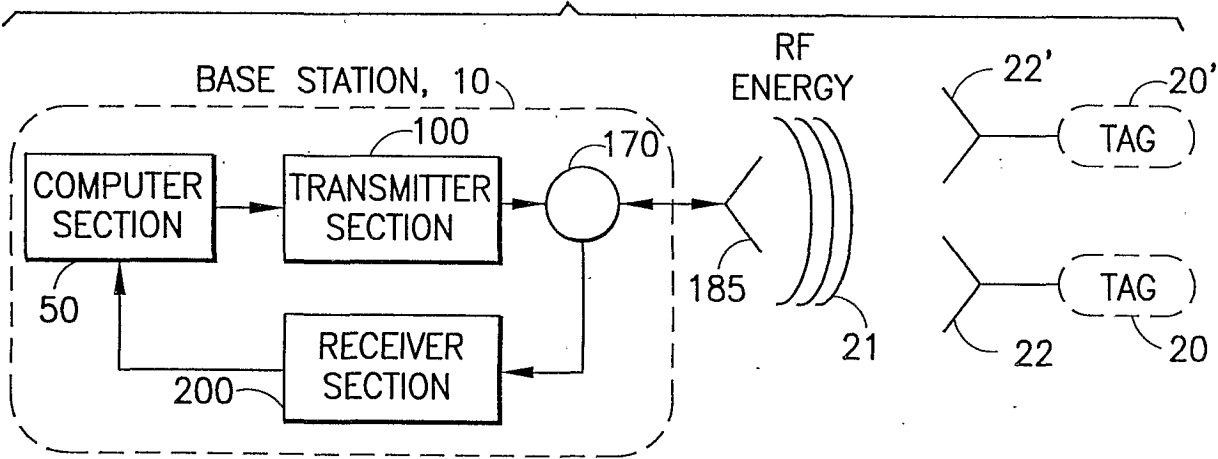


FIG.1

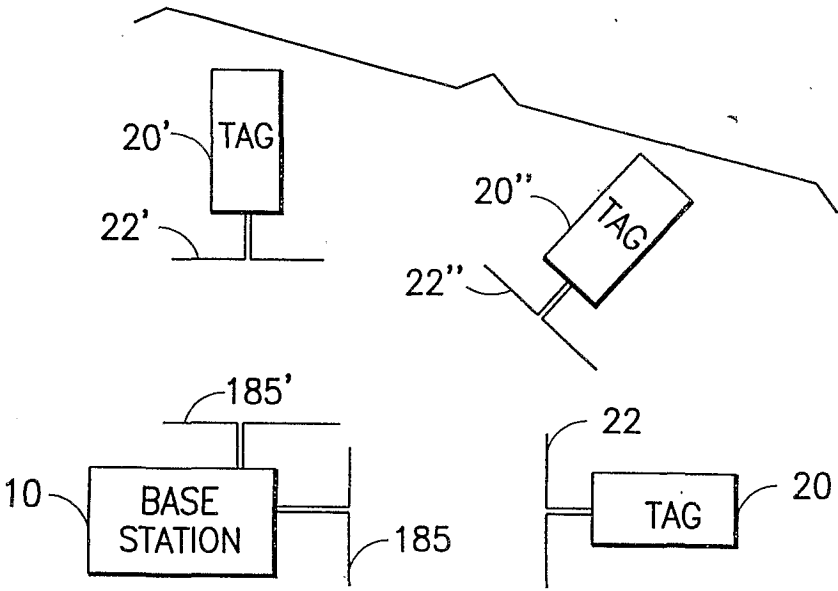


FIG.2

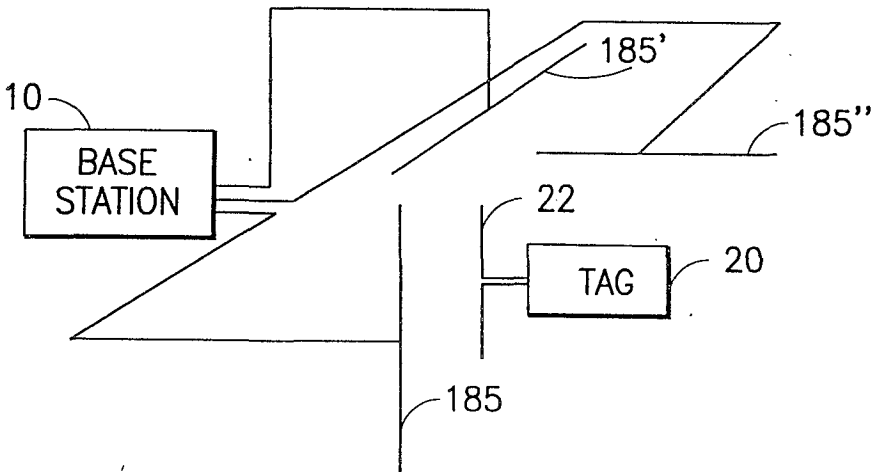


FIG.3

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